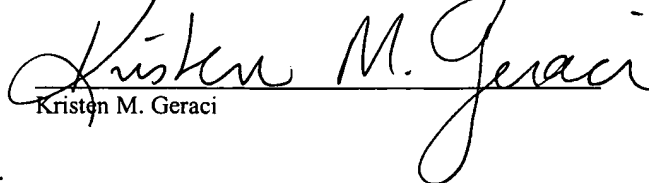




PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 12, 2004.


Kristen M. Geraci

Applicant : James P. McGuire, Jr.
Application No. : 10/625,970
Filed : July 23, 2003
Title : EAST-WEST SEPARABLE ROADM
Grp./Div. : To be assigned
Examiner : To be assigned
Docket No. : 50518/DJS/O195

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

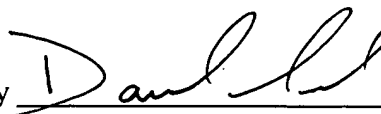
Post Office Box 7068
Pasadena, CA 91109-7068
March 12, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Since this application was filed after June 30, 2003, copies of the U.S. references are not enclosed. However, a copy of the listed foreign reference is enclosed. Also enclosed is a copy of the International Search Report dated February 2, 2004, for International Application. No. PCT/US03/23181. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

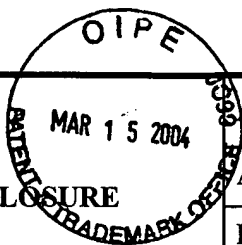
By 
David J. Steele
Reg. No. 47,317
626/795-9900

DJS/kmg
Enclosures: PTO/SB/08A/B, w/reference
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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)



Attorney Docket Number	50518/DJS/O195
Application Number	10/625,970
Filing Date	July 23, 2003
Applicant(s)	James P. McGuire, Jr.
Group Art Unit	To be assigned
Examiner Name	To be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,745,271	04-28-1998	Ford et al.
		5,943,454	08-24-1999	Aksyuk et al.
		US 2001/0009596 A1	07-26-2001	Solgaard et al.
		US 2001/0052980 A1	12-20-2001	Tada

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
		EP 1001287 A2	5-17-2000	Boord et al.	

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER SIGNATURE	DATE CONSIDERED
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.</p>	

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